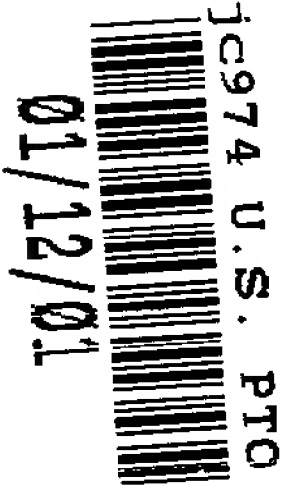


01-16-01

A


Attorney Docket No. 1140417-991111



EXPRESS MAIL NUMBER: EL451793058US

DATE OF DEPOSIT: January 12, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PATENT APPLICATION, Commissioner for Patents; Washington, DC 20231.


JENNIFER C. PARK



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR(s): Jay B. Schiller and Andrew J. B. Poole

FOR: METHOD AND APPARATUS FOR IDENTIFYING A SIGNAL ROUTE FOR
DELIVERY OF VIDEO-ON-DEMAND TO A SUBSCRIBER TERMINAL

Claiming priority to U.S. Provisional Application No. 60/176,393, filed January 13, 2000.

Enclosed are:

- [13] Pages of specification
- [3] Pages of claims
- [1] Page of abstract
- [3] Sheets of informal drawings
- [] Pages of Preliminary Amendment
- [4] Pages of Declaration and Power of Attorney (unsigned)
- [2] Pages of Small Entity Statement (copy) (unsigned)
- [X] Certificate of Mailing Under Express Mail No. EL451793058US
- [X] Check # 465357 in the amount of \$ 355.00.
- [X] Acknowledgement postcard

The filing fee is calculated as follows:

| (1) For | (2) Number filed | (3) Number extra | (4) Large Entity Rate | (5) Small Entity Rate | (6) Calculations |
|---|------------------|------------------|-----------------------|-----------------------|------------------|
| Basic Filing Fee | XX | XX | \$710.00 | \$355.00 | \$ 355.00 |
| Total Claims | 9 - 20 = | 0 | X \$ 18.00 | X\$ 9.00 | \$ 00.00 |
| Independent Claims | 3 - 3 = | 0 | X \$ 80.00 | X\$ 40.00 | \$ 00.00 |
| Multiple Dependent Claim(s) (if applicable) | | | \$ 270.00 | \$135.00 | \$ 0.00 |
| Assignment Recordation Fee | | | | | \$ 0.00 |
| Total of above Calculations = | | | | | \$ 355.00 |

☐ Please record the accompanying Assignment.

☒ Check No. 465357, in the amount of \$ 355.00 is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees due or credit any overpayment to Deposit Account No. 07-1896.

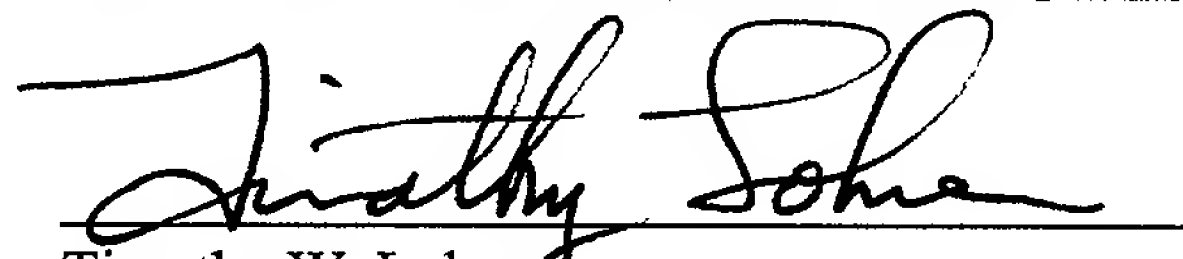
All future correspondence should be addressed to:

Timothy W. Lohse
 GRAY CARY WARE & FREIDENRICH LLP
 3340 Hillview Avenue
 Palo Alto, CA 94304
 (650) 320-7400

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 1/12/2001


 Timothy W. Lohse
 Reg. No.
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 3340 Hillview Avenue
 Palo Alto, CA 94304
 Telephone: (650) 320-7400

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$355.00)

Complete if Known

| | |
|----------------------|-------------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Herewith |
| First Named Inventor | Jay B. Schiller, et al. |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | Not Yet Assigned |
| Attorney Docket No. | 1140417-991111 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

- ☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 355.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$355.00)

2. EXTRA CLAIM FEES

Total Claims -20** = X =

Independent Claims -3** = X =

Multiple Dependent X =

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| | | | | ** Reissue claims in excess of 20 and over original patent |
| 110 | 18 | 210 | 9 | |

SUBTOTAL (2) (\$0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 11 | 0240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stms | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

Name (Print/Type)

Timothy W. Lohse

Signature



Registration No.
(Attorney/Agent)

35,255

Complete (if applicable)

Telephone

650-320-7400

Date

1/12/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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